



DOCKET NO. CEN 5014 USNP  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jing Yang et al

Serial No.: 10/671,019

Art Unit:

Filed : SEPTEMBER 24, 2003

Examiner:

For : GROWTH ARREST SPECIFIC GENE 6 PEPTIDES, ANTIBODIES,  
COMPOSITIONS AND USES

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail in an envelope addressed  
to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231 on

OCTOBER 4, 2004

(Date of Deposit)

Kenneth J. Dow

(Name of applicant, assignee, or Registered Representative)

(Signature)

OCTOBER 4, 2004

(Date of Signature)

MAIL STOP: MISSING PARTS  
Commissioner for Patents  
P. O. BOX 1450  
ALEXANDRIA, VA 22313-1450

SUBMISSION OF ASSIGNMENT AND COMBINED DECLARATION AND POWER OF  
ATTORNEY, SEQUENCE LISTING AND DRAWING

Dear Sir:

Pursuant to Rule 53(f) and Rule 54, please find enclosed a Combined DECLARATION AND POWER OF ATTORNEY, ASSIGNMENT, DRAWING AND SEQUENCE LISTING for the application of Jing Yang et al entitled GROWTH ARREST SPECIFIC GENE 6 PEPTIDES, ANTIBODIES, COMPOSITIONS, METHODS AND USES, attorney Docket No. CEN 5014 USNP, to complete, pursuant to Rule 51, this application filed on SEPTEMBER 24, 2004 by Express Mail pursuant to Rule 10. As required, a copy of the Notice to File Missing Parts of Application is also attached.

Please charge Johnson & Johnson Deposit Account No. 10-0750/CEN5014USNP/KD in the amounts of \$160.00 for submission of the Declaration pursuant to Section 1.16(e). The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 10-0750/CEN5014USNP/KD. This sheet is submitted in triplicate.

Respectfully submitted,

Kenneth J. Dow

Reg. No. 32890

Attorney for Applicant(s)

Johnson & Johnson  
One Johnson & Johnson Plaza  
New Brunswick, NJ 08933-7003  
(610)651-7422



INVENTOR'S DOCKET NO. CEN5014USNP

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Jing Yang et al.

Application No.:

10/671,019

Group No.:

Filed:

September 24, 2003

Examiner:

For:

GROWTH ARREST SPECIFIC GENE 6 PEPTIDES, ANTIBODIES,  
COMPOSITIONS, METHODS AND USES

Assistant Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

SUBMISSION OF "SEQUENCE LISTING," COMPUTER READABLE COPY, AND/OR  
ADMMENDMENT PERTAINING THERETO FOR BIOTECHNOLOGY INVENTION  
CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE.

1. ☒ This replies to the Office Letter dated August 3, 2004  
☐ A copy of the office letter is enclosed.

CERTIFICATION UNDER 37 C.F.R. §§ 1.8 (a) and 1.10\*

(When using Express Mail, the Express Mail label number is **mandatory**;  
Express Mail certification is optional)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- ☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for  
Patents, Washington, D.C. 20231

37 C.F.R. § 1.8(a)

- ☐ with sufficient postage as first class mail.

37 C.F.R. § 1.10\*

- ☐ as "Express Mail Post Office to Addressee"  
Mailing Label No.

TRANSMISSION

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Signature

Date: Oct 4, 2004

Kenneth J. Dow

(type or print name of person certifying)

\*WARNING: Each paper or fee filed by Express Mail **must** have the number of the "Express Mail" mailing label  
placed thereon prior to mailing. 37 C.F.R. § 1.10(b).

\*Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is  
an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this  
requirement will **not** be granted on petition. "Notice of October 24, 1996, 60 Fed. Reg. 56, 439,  
at 56,442.

**IDENTIFICATION OF PERSON MAKING STATEMENT**

2. I, Kenneth J. Dow state the following:

**ITEMS BEING SUBMITTED**

3. Submitted herewith is/are:

(check each item as applicable)

- A. ☐ "Sequence Listing(s)" for the nucleotide and/or amino acid sequence(s) in this application. Each "Sequence Listing" is assigned a separate identifier as required in 37 C.F.R. § 1.822 and 1.823.
- B. ☐ An amendment to the description and/or claims, wherein reference is made to the sequence by use of the assigned identifier, as required in 37 C.F.R. § 1.821(d).
- C. ☒ A copy of each "Sequence Listing" submitted for this application in computer readable form, in accordance with the requirements of 37 C.F.R. §§ 1.824.
- D. ☐ Please transfer to this application, in accordance with 37 C.F.R. § 1.821(e), the computer readable copy (ies) from applicant's other application identified as follows:

In re application of:

Application No.:

Group No.:

Filed:

Examiner:

For:

- E. ☒ A statement that the content of each "Sequence Listing" submitted and each computer readable Copy is the same, as required in 37 C.F.R. § 1.821(b).  
☐ Because the statement is not made by a person registered to practice before the Office, the statement is verified as required in 37 C.F.R. § 1.821(b).
- F. ☒ Because the submission is made in fulfilling the requirement under 37 C.F.R. § 1.821(g), a statement that the submission includes no new matter.  
☐ Because the statement is not made by a person registered to practice before the Office, the statement is verified, as required in 37 C.F.R. § 1.821(g).

**STATEMENT THAT "SEQUENCE LISTING" AND COMPUTER READABLE COPY ARE THE SAME AND/OR THAT PAPERS SUBMITTED INCLUDES NO NEW MATTER-**

4. I hereby state:

(complete applicable item A and/or B)

- A. ☒ Each computer readable form submitted in this application, including those forms requested to be transferred from applicant's other application, is the same as the "Sequence Listing" to which it is indicated to relate.
- B. ☒ All papers accompanying this submission, or for which a request for transfer from applicants' other application, introduce no new matter.

5. The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136 apply.

(complete (a) or (b) as applicable)

- (a) ☐ Applicant petitions for an extension of time under 37 C.F.R. § 1.136 (fees: 37 C.F.R. § 1.136 (fees: 1.17(a)(1)-(4) for the total number of months checked below:

Extension (months)	Fee for other than small entity	Fee for small entity
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 400.00	\$ 200.00
<input type="checkbox"/> three months	\$ 920.00	\$ 460.00
<input type="checkbox"/> four months	\$ 1,440.00	\$ 720.00

Fee: \$ \_\_\_\_\_

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

- ☐ An extension for \_\_\_\_\_ months has already been secured. The fee paid therefor of \$ \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ \_\_\_\_\_

OR

- (b) ☒ Applicant believes that no extension of term is required. However, this condition petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

#### FEE PAYMENT

8. ☐ Attached is a ☐ check ☐ money order in the amount of \$ \_\_\_\_\_  
☐ Authorization is hereby made to charge the amount of \$ \_\_\_\_\_  
☐ to Deposit Account No. 10-0750/CEN5014USNP/KD  
☐ To Credit card as shown on the attached credit card information authorization form PTO-2038.

- ☐ Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.  
A duplicate of this paper is attached.

#### FEE DEFICIENCY

9. ☒ If any additional extension and/or fee is required, charge  
☒ Deposit Account No. 10-0750/CEN5014USNP/KD  
☐ Credit card as shown on the attached credit card information authorization form PTO-2038.

  
SIGNATURE OF PRACTITIONER

(type or print name of practitioner)

Kenneth J. Dow

Reg. No.: 32,890

Telephone No.: (610) 651-7422

Address

Johnson & Johnson

One Johnson & Johnson Plaza

New Brunswick, NJ 08933

Telephone No. 610-651-7422

Customer No.: 000027777

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Notice of Allowance:  
Batch No. :  
Serial No. : 10/671,019

Applicants: Jing Yang et al.

Filed : September 24, 2003

For : GROWTH ARREST SPECIFIC GENE 6 PEPTIDES, ANTIBODIES, COMPOSITIONS  
AND USES



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to: Commissioner for Patents, Washington, D.C. 20231 on

October 4, 2004

(Date of Deposit)

Kenneth J. Dow

Name of applicant, assignee, or Registered Representative

(Signature)

October 4, 2004

(Date of Signature)

MAIL STOP:Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

SUBMISSION OF DRAWINGS (PHOTOCOPIES)

Sir:

Applicants herewith submit a replacement Drawing Figure 6 in response to the Notice to File Missing Parts of Nonprovisional Application mailed 08/03/04 in the above referenced application.

This submission is made within two months from 08/03/04 the mailing date of the " Notice to File Missing Parts".

Please charge any fees which may be required for this submission to Johnson & Johnson Deposit Account No. 10-0750/CEN5014NP/KD. Three copies of this sheet are enclosed.

Respectfully submitted,

Kenneth J. Dow  
Attorney for Applicants  
Reg. No. 32,890

Johnson & Johnson  
One Johnson & Johnson Plaza  
New Brunswick, NJ 08933-7003

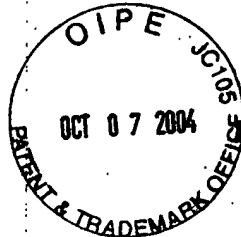
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UNITED STATES PATENT AND TRADEMARK OFFICE

 UNITED STATES DEPARTMENT OF COMMERCE  
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/671,019	09/24/2003	Jing Yang	CEN 5014 USNP

 000027777  
 PHILIP S. JOHNSON  
 JOHNSON & JOHNSON  
 ONE JOHNSON & JOHNSON PLAZA  
 NEW BRUNSWICK, NJ 08933-7003


CONFIRMATION NO. 2644

FORMALITIES LETTER



\*OC000000613424191\*

Date Mailed: 08/03/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - Numbers, letters, and reference characters on the drawings must measure at least 0.32 cm (1/8 inch) in height. See Figure(s) 6.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the

10/06/2004 BABRAHA1 00000078 100750 10671019

01-FC:2051

65.06 DA

**BEST AVAILABLE COPY**

Page 2 of 2

sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$65 for a Small Entity

~~• \$55 Late-fee or declaration surcharge.~~

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

Y.G.  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE